

भारत सरकार

Government of India

कोयला मंत्रालय, कोयला नियंत्रक का कार्यालय

Ministry of Coal, Office of the Coal Controller

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Scope Minar, 5th Floor, Core-II

Laxmi Nagar Delhi- 110092

Dated 21/09/2023

To,

Third Party Monitoring Agencies,
CMPDIL, CSIR-NEERI, IIEST-Shibpur, IIT-ISM, IIT-Kharagpur

Sub: Verification of progressive/final mine closure activities of coal/lignite mines -reg.

Ref: MoC Office Memorandum F.No. 34011/28/2019-CPAM, dated 29th May 2020.

Sir,

In reference to the subject mentioned above, I am directed to inform that the Third-Party Monitoring Agencies approved by Ministry of Coal shall submit the Audit Report in the manner as specified below:

1. All necessary supporting documents related to claims must be attached in the Audit Report.
2. The list of supporting documents along with relevant page no. at which such supporting documents of claims are placed within the report shall be presented in a tabular form such that it can be easily referred (Format attached at **Annexure A** for reference).
[Note: Modifications in the format can be made for better understanding and clarity]
3. In addition to the detailed description of activities performed by the Project Proponent, a brief description of all activities and sub-activities conducted along with other necessary details shall be tabulated (Format attached at **Annexure B** for reference).
4. The documents attached should be in proper legible condition and systematic order.
5. Additional supporting documents, if any, for justification of claims may be submitted with appropriate reference to it.
6. All vouchers and other relevant supporting documents pertaining to mine closure activities which have been considered by the Third-Party Audit Agencies must be attached along with necessary workings/comments/observations so that claims can be properly examined.

In addition to above, the Third-Party Monitoring Agencies are directed to ensure certain point as mentioned in **Annexure-I**, during the preparation of 3rd party Audit Report.

This is for information and necessary action at your end.

coal
21/09/2023
(Aarti Mahawar)
Dy. Director

रिपोर्ट की प्रतिलिपि प्रेषित :

1. DDG Office, Ministry of Coal, Khan Market, 5th Floor, Lok Nayak Bhawan, New Delhi-110
2. All Regional OSD (CCO)
3. All Nominated Owner of Coal/Lignite Mining Companies
4. Office order File, CCO, Delhi/Kolkata.

Annexure-I

1. Progressive/ Final Mine Closure claims submitted by Project Proponent and 3rd Party Audit report are in accordance with OM F. No. 34011/28/2019-CPAM dated 29th May 2020 and approved Mine Closure Plan of the mines.
2. Observations/comments on non-execution/poor execution of any activities under progressive mine closure claims as envisaged in approved MCP.
3. Mine Closure activities claims are within the project area at which escrow agreement has been executed. No claims beyond the Project area shall be entertained.
4. Arithmetical accuracy of the calculations made for determining the final recommendation amount for reimbursement of claims against progressive/final mine closure activities shall be ensured.
5. Escrow agreement has been amended as per approved mine closure plan. If not, specify the reason.
6. Annual Mine Closure Cost (Previous Years & Current Year) has been paid in accordance with Escrow agreement. If not, specify the reason. (In case Payment Schedule in the Escrow Agreement is not available, then reliance may be placed upon approved Mine Closure Plan).
7. Present status and Balance life of the mine as on the date of preparation of audit report.
8. The details of compliance w.r.t. submission of Final Mine Closure Plan (FMC) along with other necessary details and its status at the time of preparation of Report must be mentioned. In case, FMC is not submitted, then reasons for its non-submission are required to be mentioned in co-ordination with mine management, if applicable.
9. Certificate in respect of Survival Rate of plantation from the Project Proponent must be included in the Report.
10. Comments on Protective, Reclamation and afforestation work in the mined-out area are mentioned.
11. Comments where the backfilling of the mine void is being carried out as part of regular mining operation, it shall not be included in the list of progressive mine closure activities.
12. If backfilling is carried out specifically for closure of the mine, the quantum and cost of each component of backfilling as a mine closure activity and regular activity must be specified in detail and it must be submitted in tabulated format as given in "**Annexure-C**". The reasons for ascertainment/determination of backfilling as a mine closure activity must also be mentioned.
13. Brief description of earlier reimbursement and observations on compliance/non-compliance of the Recommendations given by the 3rd Party Audit Agency Government Institutions under First Phase of Progressive Mine Closure (Note: This clause is relevant for all subsequent phases following the phase in which such recommendation is made). *Done*
14. The Report must mention the details and reasons of all activities which have not been performed/conducted by the Project Proponent in accordance with the approved Mine Closure Plan.
15. For Under Ground Mine, status of depillaring must be mentioned.
16. Comments on the age of plants/phase of plantation are specified along with pictures. As, Claim for Monitoring of Plantation is admissible till it enters self-sustainable mode.

18. The details of compliance w.r.t. execution of revised escrow agreements in accordance with Guidelines dated 29th May 2020 and its status at the time of preparation of Report must be mentioned. In case, revised escrow agreements are not executed, then reasons for the same are required to be mentioned.
19. No claims against expenditure incurred under head "Entrepreneurship Development" that are statutory in nature shall be entertained.
20. Certification obtained from the Project Proponent that expenditure incurred under the head "Entrepreneurship Development" is not made from funds accumulated under any statutory obligations, must be enclosed.
(Note: Expenditure incurred from CSR Fund is inadmissible)
21. Comments on maintenance of proper records in the form of photographs with Geo tag for plantation and other activities, receipts, vouchers, and other relevant supporting documents.
21. Comments/suggestion for any other activities that need to be carried out by the Project Proponent for safety and conservation of environment which is not mentioned in the approved mine closure plan of the mine, if any.

page /

Pollution Mitigative measures done in 2016-17						
LOA No.	Description of work	Amount claimed in Rs. Lakhs	Amount admissible as per bill in Rs. Lakhs	Work duration	Page No. of LOA	Page No. for expenditure certificate
385 dtd: 12.05.16	Providing gabion wall & OB filled gunny bags in east section	8.03428	8.03428	22.05.16 to 18.10.16	1	2
389 dtd: 14.05.16	Providing gabion wall & OB filled gunny bags at toe of outsourcing dump for protection of outfall HP culvert below Jayant-Singrauli road	24.32415	24.32415	24.05.16 to 20.10.16	4	5
391 dtd: 14.05.16	Providing and fixing gabion & OB filled gunny bags in coal section	25.48816	25.48816	23.05.16 to 19.10.16	7	8
411 dtd: 23.06.16	Construction of RCC storage tank for treated water at CETP and pipe line to HEC reservoir from FWS	31.94915	31.94915	01.07.16 to 30.09.16	10	13
412 dtd: 23.06.16	Raising of existing local boulder-filled gabion wall with more local boulder filled old gabion from turning at electric pole no. 73 to 78 beside jayantsingrauli road	1.32267	1.32267	04.07.16 to 24.07.16	15	16
415 dtd: 09.07.16	Raising of existing local boulder filled gabion wall with more local boulder filled old gabion from turning at electric pole no. 67 to 72 beside jayantsingrauli road	1.50319	1.50319	19.07.16 to 08.08.16	18	19
6832 dtd: 31.07.16	Construction of RCC wall from CETP area to Crusher house(Surge bunker)	56.51145	56.51145	23.08.16 to 16.02.16	21	24
473 dtd: 28.01.17	Construction of RCC drain and retaining wall between new dumper parking and jayantsingrauli road in west section	25.02473	25.02473	06.02.17 to 25.05.17	26	27
Total		174.15778	174.15778			

Annexure-B**

****Note:** Description of activities and sub-activities may vary from the reference as cited below depending upon the activities carried out by the Project Proponent. However, it must be ensured that such description of activities and sub-activities is in accordance with Guidelines in vogue.

Name of the Company								
Name of the Mine								
Excess Account No.								
Excess Agency Name and Address								
3rd Party Audit Name								
3rd Party Audit Address								
Life of the Mine as on 01.04.2012 (as per approved MCP)								
Status of Submission of MCP								
					Rs. In Lakh			
Sl. No.	Mine Closure Activity (As per MCP)	Total Mine Closure Cost (As per MCP)	% of Total MCP	Expenditure claimed by the Project Proponent	Expenditure incurred as certified by Third Party Audit Agency	Admissibility/Inadmissibility of Claim by Third Party Audit Agency	Observations/ Ratification for Admissibility/Inadmissibility (If any)	Final Amount recommended by Third Party Audit Agency (Revised to 50% of Excess A/c Balance)
1	(i) Post Closure Monitoring / testing of environmental parameters (For three years after Mine Closure) a) Air Quality b) Water Quality c) Entrepreneurship Development (Vocational skill development/learning for sustainable income of affected people) (Progressive & Final Mine Closure) d) Capacity building e) Core & other workers refresher training program f) Miscellaneous and other mitigative measures (Progressive & Final Mine Closure) g) Construction of Seepage/Leakage Tank h) Construction of Stoppings i) Excavation Stoppings j) Seepage/Leakage Stoppings k) Environmental Monitoring l) Flora Monitoring m) Water & Air Quality Monitoring (other than Drinking Water Testing) n) Ground Water Monitoring o) Manpower cost for supervision (Progressive & Final Mine Closure)							
2								
3								
4								
5								
6								
7								
8								
9								
	Mine Closure Cost (Total)							

Note: The admissible amount for dozing and grading component must be described in details and a separate table for as cited below may be given for Dozing and grading amount which is admissible as per norms and guidelines. Modifications in the format can be made for better understanding and clarity.

For Dozing:

For Grading: